

01-24-02

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Please type plus sign inside box + PTO/SB/05 (03/01) Approved for use through 10/31/2002 OMB 0651-0032

UTILITY PATENT APPLICATION TRANSMITTAL

Only for new nonprovisional applications under 37 1.53(b))

Attorney Docket No. 04645.0842

First Inventor Gan et al.

Title Pellet Process For Double Current

Express Mail Label No. EE209745086US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See CFR 1.27.

3. ☒ Specification [Total Pages / 28]
(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☐ Drawing(s) (35 USC 113) [Total Sheets /]

5. ☒ Oath or Declaration [Total Pages / 3 /]
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure ☒ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
17. ☒ Other: Check for \$848.00

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of the prior application No:

Prior application information: Examiner: Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

-or ☒ Correspondence address below

NAME

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Michael F. Scalise

Registration No. (Attorney/Agent)

34,920

Signature

Date

November 13, 2001

"Express Mail" Mailing Label Number EE209745086US

Date of Deposit November 13, 2001

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Barbara Haggerty

Signature

BFLODOCS:621122

FEE TRANSMITTAL

for FY 2002

Patent Fees are subject to annual revision.

Application Number

Filing Date

2001

First Named Inventor

Gan et al.

Examiner Name

Group/Art Unit

TOTAL AMOUNT OF PAYMENT

(\$848.00)

Attorney Docket Number

04645.0842

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

3. ADDITIONAL FEES

Deposit Account Number: 08-2442

Deposit Account Name:

Hodgson Russ LLP

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27.

2. ☒ Payment Enclosed:

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Fee

Code (\$)

Fee Code (\$)

Fee Description

Fee Paid

101 740

201 370

Utility filing fee

\$740

106 330

206 165

Design filing fee

\$

107 310

207 255

Plant filing fee

\$

108 740

208 370

Reissue filing fee

\$

114 160

214 80

Provisional filing fee

\$

SUBTOTAL (1)

\$740

2. EXTRA CLAIM FEES

Extra Fee from Claims below

Fee Paid

Total Claims

/ 26 / -20** = / 6 / x / 18 / =

\$108

Independent Claims

/ 3 / - 3** = / 0 / x / 84 / =

\$0

Multiple dependent

/ / x / / =

\$

Large Entity Fee Fee

Code (\$)

Small Entity Fee Fee

Code (\$)

Fee Description

103 18

203 9

Claims in excess of 20

143

102 84

202 42

Independent claims in excess of 3

144

104 280

204 140

Multiple dependent claim if not paid

122

109 84

209 42

**Reissue independent claims over original patent

123

110 18

210 9

**Reissue claims in excess of 20 and over original patent

126

SUBTOTAL (2)

\$108

SIGNATURE:

Michael F. Scalise

Reg. No. 34,920

DATE: November 13, 2001

Telephone: (716) 848-1258

"Express Mail" Mailing Label Number: EE209745086US

Date of Deposit: November 13, 2001

*Reduced by basic filing fee paid

SUBTOTAL (3)

\$0

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Barbara Haggerty

Name

Barbara Haggerty
Signature

November 13, 2001

Date of Signature

621118